

Annex 18

SOCIAL SAFEGUARDING

Marginalized communities of Namibia are residents of at least some of the target conservancies for the project and impacted by HWC. The project will therefore need to ensure that people of marginalized communities are equitably represented and involved in project activities, and benefit from the impacts of the project, and to avoid increasing any conflicts.

However, environmental, and social safeguards need to be approached slightly differently for a conservation trust fund, such as CCFN. As a financier, CCFN does not execute the activities themselves. So it does not need a framework for implementation, but rather CCFN must be able to give assurance that the projects/programmes it is funding are being undertaken with appropriate social (and environmental) safeguards in place and that these are being respected.

As a principle, the CCFN should subscribe to the following requirements for their projects:

- Early screening for marginalized communities peoples.
- Where appropriate, a consultation process with all the affected communities (including any marginalized communities) to fully identify their views and to obtain their free, prior, and informed consent to project activities affecting them; and
- An inclusive approach to avoid adverse impacts and enhance culturally appropriate benefits in each project.

The ultimate aim is to ensure that adverse environmental and social impacts are avoided or, when unavoidable, are minimized and appropriately mitigated and/or compensated for. It is therefore in the interest of CCFN to be able to:

- Ensure appropriate planning—especially in the identification and selection of alternatives to enhance environmental benefits—and avoid or, if avoidance is not possible, minimize, mitigate, and offset or compensate for adverse impacts on the environment and on affected communities;
- Ensure the effective management of environmental and social opportunities and risks at all stages of the project life cycle from conception to closure; and
- Provide for the engagement of diverse stakeholders and key parties, so that interested and affected parties have timely access to information and are empowered to meaningfully engage in issues that may affect them through all stages of the project life cycle.

To this effect, CCFN will develop a screening process for any new proposal for compliance with ESS regulations, and which allows the CCFN to determine whether the conservancies and other implementing entities are complying with an Environmental and Social Management Framework (ESMP) that applies to them. Such a screening would look at the mechanisms and ability of the potential PIAs to ensure that the implementation of their projects:

- Respect internationally proclaimed human rights including dignity, cultural property and uniqueness and rights of indigenous people;
- Will not cause social problems and conflicts related to land tenure and access to resources;
- Avoid a possible risk of frustration felt by some who are (intentionally or unintentionally) not involved enough by the project;
- Incorporate measures to allow affected stakeholders' information and consultation
- Do not cause change to beneficial uses of land or resources (e.g. loss of downstream beneficial uses (water supply or fisheries) or land use modification that may change present social and economic activities;
- Will not cause increased local or regional unemployment;
- Will not cause impairment of marginalized people's livelihoods or belief systems;
- Will not cause disproportionate impact to women or other disadvantaged or vulnerable groups;
- Ensure that adverse social or economic impacts on resource-dependent local/marginalized communities resulting from conservation-related restrictions on resource access and/or use are avoided or minimized;
- Ensure that culturally appropriate and equitable benefits are negotiated and agreed upon with all sectors of the communities in question;
- Potential adverse impacts are avoided or adequately addressed through a participatory and consultative approach

Recommendation

For the purpose of social safeguarding, it is recommended that the CCFN develop ESMF project guidelines at inception phase of project implementation, to serve as a screening process for projects that it will finance. Before disbursing funds, a certification, using the screening matrix, could be recorded. Table A18-1 provides an example of what such screening matrix and certification might look like.

Table A18-1 Example of a possible Social screening checklist for CCFN funded projects

		Yes	No
A Access to resources – Will the subproject:			
1	Use land that is currently occupied or regularly used for productive purposes (e.g. gardening, farming, pasture, fishing locations, forests)		
2	Displace individuals, families or businesses?		
3	Negatively impact access to key resources or services?		
4	Result in the temporary or permanent loss of crops, fruit trees or household infrastructure such as granaries, outside toilets and kitchens?		
5	Negatively impact the earning ability of individuals, families or businesses?		
6			
7			
<i>If the answer to any of the questions 1-7 is “Yes”, please consult the ESMF and, if needed, prepare a Resettlement Action Plan (RAP)</i>			
D Marginalized people – Are there:			
8	Any marginalized groups living within the area of the project?		
9	If so, are the marginalized groups affected by HWC?		
10	Members of these marginalized groups in the area who could benefit from the project?		
11	Marginalized communities who would be negatively affected by the project?		
<i>If the answer to questions 8-11 is “Yes”, please consult the ESMF and, if needed, prepare an Indigenous Peoples (IPP).</i>			

CERTIFICATION

We certify that we have thoroughly examined all the potential adverse effects of this subproject. To the best of our knowledge, the subproject plan as described in the application and associated planning reports (e.g. EMP, RAP, IPP, PMP), if any, will be adequate to avoid or minimize all adverse environmental and social impacts.

Community representative (signature):

Competent person/authority (signature):

Date: